



2171 #8  
PATENT BT  
12-27-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on December 11, 2002.*

Stephanie Daryaie  
Stephanie Daryaie

Applicant : Brian Antoine, et al.  
Application No. : 10/023,542  
Filed : December 17, 2001  
Title : DYNAMIC POLICY BASED ROUTING  
Grp./Div. : 2171  
Examiner : To be assigned  
Docket No. : 45614/JEJ/X2

RECEIVED  
DEC 20 2002  
Technology Center 2100

INFORMATION DISCLOSURE STATEMENT AND  
CERTIFICATION UNDER § 1.97(e)(1)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
December 11, 2002

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B with a listing of references that are known to applicant. Also enclosed is a copy of the International Search Report from the corresponding PCT case dated November 21, 2002. Copies of each of the listed references are enclosed.

It is respectfully requested that these references be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicant also requests that an initialed copy of said FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

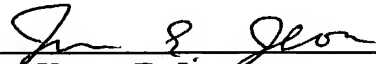
Applicant's undersigned attorney hereby certifies, in accordance with 37 CFR § 1.97(e)(1), that each item of information contained in the information disclosure statement was first cited

Application No.10/023,542

in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

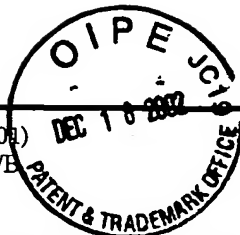
CHRISTIE, PARKER & HALE, LLP

By   
Jun-Young E. Jeon  
Reg. No. 43,693  
626/795-9900

JEJ/sd

Enclosures: PTO/SB/08A/B, w/references  
SD PAS477581.1.\*-12/11/02 8:25 AM

FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/B



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Attorney Docket Number	45614/JEJ/X2
Application Number	10/023,542
Filing Date	December 17, 2001
Applicant(s)	Brian Antoine, et al.
Group Art Unit	2171
Examiner Name	To be assigned

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	<u>DOCUMENT NUMBER</u> Number - kind code <sup>2</sup> . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		5,940,598	08-17-1999	Strauss et al.
		US 6,170,009 B1	01-02-2001	Mandel et al.
		US 6,295,296 B1	09-25-2001	Tappan

Technology Center 2100

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	<u>Foreign Patent Document</u> Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)

**OTHER DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER SIGNATURE		DATE CONSIDERED	
-----------------------	--	--------------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

JEJ/sd

SD PAS477584.1-\* 12/10/02 10:18 AM